

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/539660 ✓
Applicant : Max Aebi et al.
Filed : June 14, 2005
TC/A.U. : N/A
Examiner : N/A

Confirmation No. N/A

Title : INTERVERTEBRAL IMPLANT WITH TILTABLE JOINT PARTS
Docket No. : LUS-16090
Customer No. : 040854



INFORMATION DISCLOSURE STATEMENT

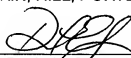
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Sir/Madam:

In accordance with Rule 56, applicant is aware of the publications listed in the International Search Report (Copy enclosed) and in the enclosed copy of Patent Office Form PTO/SB/08A. A copy of each of the publications is enclosed herewith.

Respectfully submitted,

RANKIN, HILL, PORTER & CLARK LLP

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Application Number	10/539660
Filing Date	6/14/2005
First Named Inventor	Max Aebi
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	LUS-16090

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,893,889	04/13/1999	Harrington	
		US- 6,440,168	08/27/2002	Cauthen	
		US- 2002/0052656	05/02/2002	Michelson	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	¹
		Country Code ² Number Kind Code ² (if known)				
		CA 2,332,822 (English text)	11/25/1999	Synthes		

Examiner Signature	/Larry Waggle, Jr/	Date Considered	07/30/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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WO 02/089701, INTERVERTEBRAL DISC PROSTHESIS AND FITTING TOOLS. Publication Date: 14 November 2002.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the proposed collection of information that you wish to submit to the public burdening your business should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND UNNECESSARY OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LEW/